

Docket No. 230437USOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shantha C NALUR, et al.

SERIAL NO: 09/729,693

GAU:

FILED: December 6, 2000

EXAMINER:

FOR: FOOD PRODUCTS CONTAINING HIGH MELTING EMULSIFIERS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.99

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

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Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 03/02)

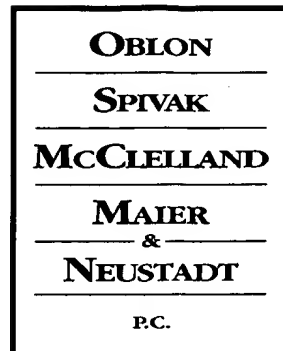
Respectfully submitted,

OBLON, SPIVAK, MCLELLAND,  
MAYER & NEUSTADT, P.C.

Norman F. Oblon  
Registration No. 24,618

Richard L. Treanor, Ph.D.  
Registration No. 36,379

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Docket No.: 230437US0M

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTORNEYS AT LAW

RE: Application Serial No.: 09/729,693  
Applicants: Shantha C. NALUR, et al.  
Filing Date: December 6, 2000  
For: FOOD PRODUCTS CONTAINING  
HIGH MELTING EMULSIFIERS

SIR:

Attached hereto for filing are the following papers:

**Third Party Submission Under 37 CFR §1.99**  
**Information Disclosure Statement w/PTO Form 1449**  
**Cited References (1)**  
**Certificate of Service**

Our check in the amount of **\$180.00** is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon  
Registration No. 24,618

Richard L. Treanor, Ph.D.  
Registration No. 36,379



22850

(703) 413-3000 (phone)  
(703) 413-2220 (fax)



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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

Shantha C. NALUR, et al.

SERIAL NO: 09/729,693

: ATTN: APPLICATION BRANCH

FILED: December 6, 2000

:

FOR: FOOD PRODUCTS CONTAINING HIGH MELTING EMULSIFIERS

THIRD PARTY SUBMISSION UNDER 37 CFR §1.99

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

The present Third Party wishes to submit the enclosed United States Patent No. 6,346,289 (US'289) into the file wrapper contents of United States Patent Application No. 09/729,693 (US'693). US'693 was published on September 26, 2002. Therefore, the present Third Party Submission is timely filed within the two months prescribed by 37 CFR §1.99. A copy of US'289 is provided herewith for the Examiner's consideration, and is listed on the enclosed Information Disclosure Statement indicating its publication date. A fee under 37 CFR §1.17(p) is enclosed. Finally, the enclosed Certificate of Service is provided in accordance with 37 CFR §1.248, demonstrating proof that the all of the papers filed herewith were timely served upon the Applicant of US'693 at the Correspondence Address indicated at the first page of the published application.

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Accordingly, all of the requirements under 37 CFR §1.99 have been satisfied by the Third Party, and therefore the contents of the present submission should be ENTERED.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon  
Attorney of Record  
Registration No. 24,618

Richard L. Treanor, Ph.D.  
Registration No. 36.379



**22850**

(703) 413-3000  
Fax #: (703)413-2220  
NFO/RLT/TWB/twb:aps  
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CERTIFICATE OF SERVICE

I, Thomas Barnes, hereby certify that on this date, November 26, 2002, a true and exact copy of the foregoing Third Party Submission was served by first class mail on the following:

WINSTON & STRAWN  
200 Park Avenue  
New York, NY 10166-4193

Thomas Barnes 11/26/02